

University Policy Number 1000.1:
FEDERAL FINANCIAL CONFLICT OF INTEREST

Responsible Administrator:

Responsible Office:

Authority:

Policy Statement

Statement of Purpose

DEFINITIONS

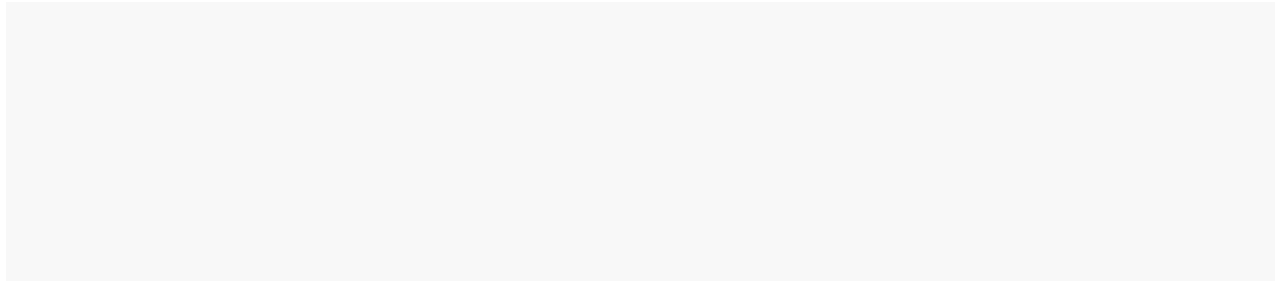
mean a significant financial interest (SFI) is defined as a financial interest consisting of one or more of the following interests of the Investigator (or those of his/her spouse and dependent children) that reasonably appears to be related to the

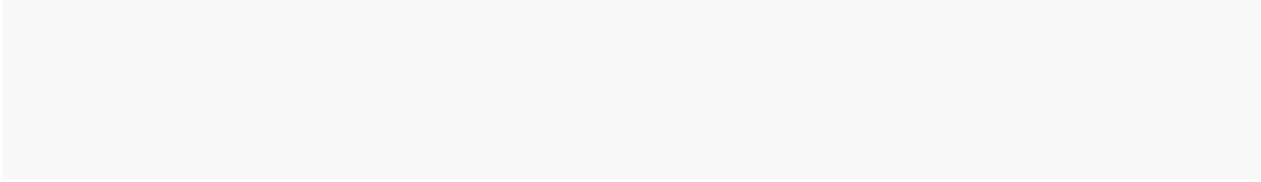
An SFI exists as defined in the following scenarios:

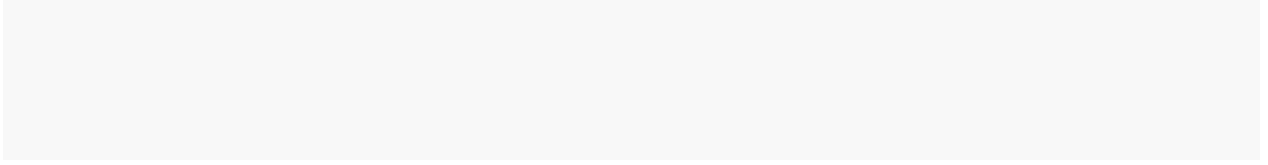
- ¶ Publicly traded entity: If the value of any remuneration received from the entity in the 12 months preceding the disclosure _ the value of any equity interest² in the entity as of the date of disclosure, when aggregated, exceeds \$5,000. 0.13 0.16 RGO.13 0.16 RGO.13 0. 6229.13 0.)14r2

- ¶ Salary, royalties, or other remuneration paid by the Institution to the Investigator if the Investigator is currently employed or otherwise appointed by the University, including intellectual property rights assigned to the University and agreements to share in royalties related to such rights;
- ¶ Income from investment vehicles, such as mutual funds and retirement accounts, as long as the Investigator does not directly control the investment decisions made in these vehicles;
- ¶ Income from seminars, lectures, or teaching engagements sponsored by a federal, state, or local government agency, an institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an Institution of higher education; or
- ¶ Income from service on advisory committees or review panels for a federal, state, or local









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[Redacted]

[Redacted]

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[Redacted]

[Redacted]

[Redacted]

EXPECTED RESULTS

REGULATORY COMPLIANCE